

Stratifying Generalized Linear Systems by means a Derivative Feedback

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Tenerife 2004

Abstract

We consider generalized linear time invariant systems in the form $E\dot{x}(t) = Ax(t) + Bu(t)$ with $E, A \in M_n(\mathbb{C})$, $B \in M_{n \times m}(\mathbb{C})$, arising naturally in a variety of circumstances.

It is well known that the regularity condition $\det E \neq 0$ guarantees the existence and uniqueness of solutions. In this work we study necessary and sufficient conditions in order that the system can be normalized by means of a derivative feedback, that is to say conditions that ensure the existence of a control $u(t) = -V\dot{x}(t) + w(t)$ with $V \in M_{m \times n}(\mathbb{C})$ such that the matrix $E + BV$ in the closed loop system $(E + BV)\dot{x}(t) = Ax(t) + Bw(t)$ is invertible and consequently the close loop system is uniquely solvable. We also study conditions for controllability of the close loop system ensuring the existence of a feedback $w(t) = Ux(t) + \omega(t)$ with $V \in M_{m \times n}(\mathbb{C})$, such that the system $(E + BV)\dot{x}(t) = (A + BU)x(t) + B\omega(t)$ has a stable solution.

Finally, a stratification of the space of orbits of the systems that can be normalized is presented.

- Generalized linear dynamical systems,
- Singular systems,
- Daes,
- Descriptor systems,
- semi-state systems,
-

$$\boxed{E\dot{x}(t) = Ax(t) + Bu(t)} \quad (1)$$

$$E, A \in M_{p \times n}(\mathbb{C}), B \in M_{n \times m}(\mathbb{C}).$$

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Notations:

- $M_{r \times s}(\mathbb{C})$ the space of complex matrices having r rows and s columns, and in the case which $r = s$ we write $M_r(\mathbb{C})$.
- $\mathcal{M} = \{(E, A, B) \mid E, A \in M_n(\mathbb{C}), B \in M_{n \times m}(\mathbb{C})\}$ corresponding to a generalized time-invariant linear systems

$$\boxed{E\dot{x}(t) = Ax(t) + Bu(t)} \quad (2)$$

Remark 1. when $E = I_n$ it is called standard system, and we write (A, B) for $\dot{x}(t) = Ax(t) + Bu(t)$.

If E invertible:

$$E\dot{x}(t) = Ax(t) + Bu(t)$$



$$\dot{x} = E^{-1}Ax(t) + E^{-1}Bu(t)$$

Definition 1. *We say that a generalized system is standardizable if the matrix E is invertible.*

Some authors call normalizable instead of standardizable

Example 1. We consider the generalized system

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} u$$

The solution is:

$$\boxed{\begin{pmatrix} x_1(t) \\ x_2(t) \end{pmatrix} = \begin{pmatrix} -\dot{u}(t) \\ -u(t) \end{pmatrix}}$$

- Then: there not exists solution with initial condition x_0 unless $x_0 = (-\dot{u}(0), -u(0))$.

If we consider $u = u_1 - V\dot{x}$ with $V = \begin{pmatrix} 1 & 0 \end{pmatrix}$ the system becomes $(E + BV)\dot{x} = Ax + Bu_1$ with $(E + BV)$ invertible.

The standard system:

$$\dot{x} = (E + BV)^{-1}Ax + (E + BV)^{-1}Bu_1$$

is controllable.

If E not invertible:

$\exists u_1(t) = u(t) + G\dot{x}(t), G \in M_{m \times n}(\mathbb{C})$
such that $E + BG$ is invertible?

if yes

$$E\dot{x}(t) = Ax(t) + Bu(t)$$



$$\dot{x}(t) = (E + BG)^{-1}Ax(t) + (E + BG)^{-1}Bu(t)$$

Definition 2. We say that a generalized system is standardizable by derivative feedback if the matrix $E + BG$ for some matrix G , is invertible.

Remark 2. Standardizable \implies Standardizable under derivative feedback.

(It suffices to take $G = 0$)

In the sequel we will call *standardizable systems*.

Feedback and derivative feedback Equivalence

Definition 3. (E_1, A_1, B_1) is equivalent to (E_2, A_2, B_2) if and only if there exists invertible matrices $P \in Gl(n; \mathbb{C})$, $R \in Gl(m; \mathbb{C})$, and matrices $F, G \in M_{m \times n}(\mathbb{C})$ such that

$$\begin{aligned} E_2 &= P^{-1}E_1P + P^{-1}BG, \\ A_2 &= P^{-1}A_1P + P^{-1}BF, \\ B_2 &= P^{-1}B_1R, \end{aligned} \tag{3}$$

- Matrix expression

$$\begin{pmatrix} E_2 & A_2 & B_2 \end{pmatrix} = P^{-1} \begin{pmatrix} E_1 & A_1 & B_1 \end{pmatrix} \begin{pmatrix} P & 0 & 0 \\ 0 & P & 0 \\ G & F & R \end{pmatrix}$$

Proposition 1. *If (E_1, A_1, B_1) is equivalent to (E_2, A_2, B_2) . Then (E_1, B_1) and (A_1, B_1) are feedback equivalent to (E_2, B_2) and (A_2, B_2) respectively.*

Corollary 1. *If necessary we can take a triple (E, A, B) where (E, B) (or (A, B) , but not both) in its Kronecker canonical form.*

Proposition 2. *Let (E, A, B) be a standardizable system and (E_1, A_1, B_1) a system equivalent to it. Then (E_1, A_1, B_1) is also standardizable.*

Proof. There exist matrices P, R invertible and G, G_0 such that

$$E = P^{-1}E_1P + P^{-1}B_1G$$

$$B = P^{-1}B_1R$$

$E + BG_0$ invertible.

Then $E_1 + B_1(GP^{-1} + RG_0P^{-1})$ is invertible.

□

Theorem 1. A system $E\dot{x}(t) = Ax(t) + Bu(t)$ may be standardized by a derivative feedback if, and only if, the pair of matrices (E, B) is either controllable or all its eigenvalues are non zero.

Remark 3. Let $(E, B) \in M_n(\mathbb{C}) \times M_{n \times m}(\mathbb{C})$ be a pair of matrices.

$$\begin{array}{c} \lambda_0 \in \mathbb{C} \text{ is an eigenvalue} \\ \Updownarrow \\ \text{rank} \begin{pmatrix} \lambda_0 I - E & B \end{pmatrix} < n \end{array}$$

Example 2. We consider the following system

$$E\dot{x}(t) = Ax(t) + Bu(t)$$

with

$$E = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad \text{and} \quad B = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

The unique eigenvalue of the pair (E, B) is $\lambda = 1 \neq 0$. Then, there exists a matrix G such that $E + BG$ is invertible.

We can take, for example $G = \begin{pmatrix} 1 & 0 \end{pmatrix}$, clearly

$$\text{rank}(E + BG) = 2.$$

Question:

If the system $E\dot{x}(t) = Ax(t) + Bu(t)$ is standardizable by means a derivative feedback, the standardized system

$$\dot{x}(t) = (E + BG)^{-1}Ax(t) + (E + BG)^{-1}Bu_1(t)$$

is controllable?

g-Controllability

Definition 4. A triple of matrices $(E, A, B) \in \mathcal{M}$ is *g-controllable* if and only if the matrix

$$\begin{pmatrix} sE - A & B \end{pmatrix}$$

has full rank for all $s \in \mathbb{C}$.

This definition is a generalization of controllability notion for pairs (A, B) of matrices representing standard systems.

Definition 5. A pair (A, B) is *controllable* if and only if the matrix

$$\begin{pmatrix} sI - A & B \end{pmatrix}$$

has full rank for all $s \in \mathbb{C}$.

Proposition 3. *The g-controllability character is invariant under the equivalence relation considered.*

Theorem 2. *Let $E\dot{x}(t) = Ax(t) + Bu(t)$ be a standardizable by means derivative feedback and g-controllable system. Then the standardized system is controllable.*

Remark 4. *If a system is standardizable and g-controllable it is controllable in the sense of for any initial condition $x(0)$ we may choose a control such that the state response starting from $x(0)$ may arrive at any prescribed position.*

Example 3. We consider the following system

$$E\dot{x}(t) = Ax(t) + Bu(t) \quad (4)$$

with

$$E = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, B = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

The system is standardizable by means $G = \begin{pmatrix} 0 & 1 & 0 \end{pmatrix}$. It is g-controllable:

$$\text{rank} \begin{pmatrix} sE - A & B \end{pmatrix} = 3$$

for all $s \in \mathbb{C}$, then the standardized system is controllable:

$$\dot{x}(t) = A_1x(t) + Bu(t), \quad (5)$$

with

$$A_1 = (E + BG)^{-1}A, \quad B_1 = (E + BG)^{-1}B$$

$$\text{rank} \begin{pmatrix} B_1 & A_1B_1 & A_1^2B_1 \end{pmatrix} = 3$$

Remark 5. The system (??) is pole assignable, then there exists F such that the system

$$\dot{x}(t) = A_2x(t) + B_1u(t), \quad (6)$$

with $A_2 = A_1 + B_1F$ has preassigned eigenvalues.

Taking $u_1(t) = u(t) + G\dot{x}(t) - Fx(t)$ in the system (??) $E\dot{x}(t) = Ax(t) + Bu(t)$, the standardized system is (??)

Controllability

Proposition 4. *A triple of matrices $(E, A, B) \in \mathcal{M}$ is controllable if and only if it is standardizable and g -controllable, that is to say if:*

$$\begin{aligned} \text{rank} \begin{pmatrix} E & B \end{pmatrix} &= n \\ \text{rank} \begin{pmatrix} sE - A & B \end{pmatrix} &= n, \text{ for all } s \in \mathbb{C}. \end{aligned}$$

Equivalent relation as Lie group action

$\mathcal{G} = Gl(n; \mathbb{C}) \times M_{m \times n}(\mathbb{C}) \times M_{m \times n}(\mathbb{C}) \times Gl(m; \mathbb{C})$
and its unit element by I . Note that \mathcal{G} is a complex manifold.

Definition 6.

$$\alpha : \mathcal{G} \times \mathcal{M} \longrightarrow \mathcal{M}$$

$$\alpha((P, F, G, R), (E, A, B)) = \\ (P^{-1}EP + P^{-1}BG, P^{-1}AP + P^{-1}BF, P^{-1}BR)$$

Equivalence relation induced: (E_1, A_1, B_1) and (E_2, A_2, B_2) are *equivalent* if and only if there exists $(P, F, G, R) \in \mathcal{G}$ such that

$$\alpha((P, F, G, R), (E_1, A_1, B_1)) = (E_2, A_2, B_2)$$

[1] V. I. Arnold, *On matrices depending on parameters*, Russian Math. Surveys, 26:2 (1971), pp. 29–43.

- **Orbit**

$$\mathcal{O}(E, A, B) = \alpha(\mathcal{G} \times (E, A, B))$$

- **Tangent space**

$$T_{(E,A,B)}\mathcal{O}(E, A, B) = \{(EP - PE + BV, AP - PA + BU, BR - PB)\}$$

for all $P \in M_n(\mathbb{C})$, $U, V \in M_{m \times n}(\mathbb{C})$, and $R \in M_m(\mathbb{C})$.

- **Normal space**

$$T_{(E,A,B)}\mathcal{O}(E, A, B)^\perp = \{(X, Y, Z) : \left. \begin{array}{l} \overline{X}^t E - E \overline{X}^t + \overline{Y}^t A - A \overline{Y}^t - B \overline{Z}^t = 0 \\ \overline{Z}^t B = 0, \overline{X}^t B = 0, \overline{Y}^t B = 0 \end{array} \right\}$$

- **Differentiable families of triples**

$$\begin{aligned} \mathcal{T} : \mathcal{U} &\longrightarrow \mathcal{M} \\ \lambda &\longrightarrow (E(\lambda), A(\lambda), B(\lambda)) \\ 0 &\longrightarrow (E, A, B) \end{aligned}$$

$$\mathcal{U} \subset \mathbb{R}^\ell.$$

- **Generic differentiable families of triples**

$$\mathcal{T}(\lambda) = (E, A, B) + H$$

with H transversal to $T_{(E,A,B)}\mathcal{O}(E, A, B)$.

- **For example**

$$\mathcal{T}(\lambda) = (E, A, B) + T_{(E,A,B)}\mathcal{O}(E, A, B)^\perp$$

Closure hierarchy of orbits

- **Frontier condition:**

Proposition 5. Let (E_0, A_0, B_0) in the closure $\overline{\mathcal{O}(E, A, B)}$ of $\mathcal{O}(E, A, B)$.

Then $\mathcal{O}(E_0, A_0, B_0) \subset \overline{\mathcal{O}(E, A, B)}$.

Corollary 2. $(E_0, A_0, B_0) \in \overline{\mathcal{O}(E, A, B)}$. Then $\overline{\mathcal{O}(E_0, A_0, B_0)} \subset \overline{\mathcal{O}(E, A, B)}$.

- ◇ **Problem:**

There are a infinite number of orbits.

Strata

Definition 7. *Stratum = Union of orbits having the same set of discrete invariants.*

- Case $n = 2, m = 1$.

$$\mathcal{S}_0 = \bigcup_{a_i \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a_1 & a_2 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_1 = \bigcup_{e \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} 0 & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_2^1 = \bigcup_{e, a \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} 0 & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_2^2 = \bigcup_{\substack{e_i, a_i \in \mathbb{C} \\ e_1 \neq e_2}} \mathcal{O} \left(\left(\begin{pmatrix} e_1 & 0 \\ 0 & e_2 \end{pmatrix}, \begin{pmatrix} a_1 & 1 \\ a_2 & a_3 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_3^1 = \bigcup_{\substack{e, a_i \in \mathbb{C} \\ a_2 \neq 0}} \mathcal{O} \left(\left(\begin{pmatrix} e & 1 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a_1 & 0 \\ a_2 & a_3 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_3^2 = \bigcup_{\substack{e_i, a_i \in \mathbb{C} \\ e_1 \neq e_2, \\ a_1 \neq a_3}} \mathcal{O} \left(\left(\begin{pmatrix} e_1 & 0 \\ 0 & e_2 \end{pmatrix}, \begin{pmatrix} a_1 & 1 \\ 0 & a_3 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_4^1 = \bigcup_{\substack{e, a_i \in \mathbb{C} \\ a_1 \neq a_2}} \mathcal{O} \left(\left(\begin{pmatrix} e & 1 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a_1 & 0 \\ 0 & a_2 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_4^2 = \bigcup_{\substack{e_i, a \in \mathbb{C} \\ e_1 \neq e_2}} \mathcal{O} \left(\left(\begin{pmatrix} e_1 & 0 \\ 0 & e_2 \end{pmatrix}, \begin{pmatrix} a & 1 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_5^1 = \bigcup_{\substack{e, a_i \in \mathbb{C} \\ a_1 \neq a_2}} \mathcal{O} \left(\left(\begin{pmatrix} e & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a_1 & 0 \\ 0 & a_2 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_5^2 = \bigcup_{\substack{e_i, a \in \mathbb{C} \\ e_1 \neq e_2}} \mathcal{O} \left(\left(\begin{pmatrix} e_1 & 0 \\ 0 & e_2 \end{pmatrix}, \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_6^1 = \bigcup_{e, a \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} e & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a & 1 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_6^2 = \bigcup_{e, a \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} e & 1 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$$\mathcal{S}_8 = \bigcup_{e, a \in \mathbb{C}} \mathcal{O} \left(\left(\begin{pmatrix} e & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right) \right)$$

$\text{codim } \mathcal{S}_i = i$

- The collection of strata is a partition of the space \mathcal{M} :

$$\cup \mathcal{S}_i = \mathcal{M}$$

$$\mathcal{S}_i \cap \mathcal{S}_j = \emptyset \text{ if } i \neq j.$$

- Frontier condition:

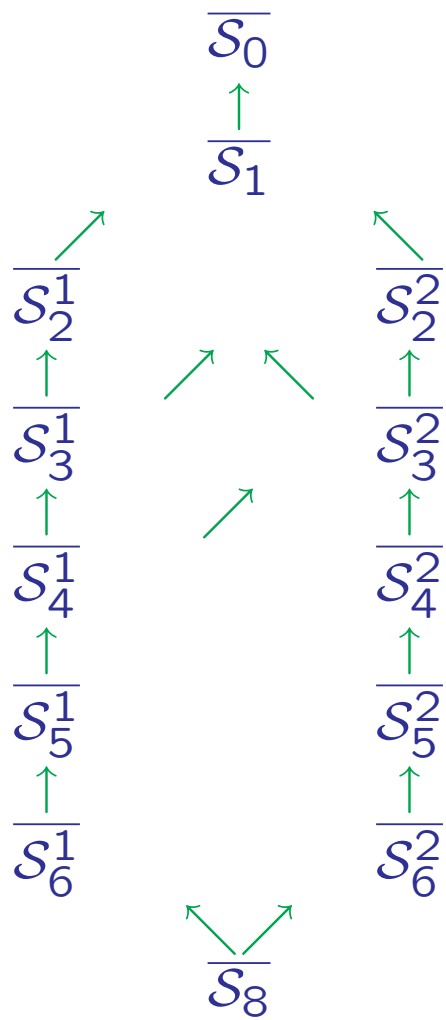
Proposition 6. *Let (E_0, A_0, B_0) in the closure $\overline{\mathcal{S}(E, A, B)}$ of $\mathcal{S}(E, A, B)$.*

Then $\mathcal{S}(E_0, A_0, B_0) \subset \overline{\mathcal{S}(E, A, B)}$.

Corollary 3. *$(E_0, A_0, B_0) \in \overline{\mathcal{S}(E, A, B)}$. Then $\overline{\mathcal{S}(E_0, A_0, B_0)} \subset \overline{\mathcal{S}(E, A, B)}$.*

- There are a finite number of strata

Closure hierarchy



- Strata of Standardizable triples:

$$\text{Stand} \subset \mathcal{S}_0 \cup \mathcal{S}_1 \cup \mathcal{S}_2^1$$

- Strata of Controllable triples:

$$\text{Cont} \subset \text{Stand} \subset \mathcal{S}_0 \cup \mathcal{S}_1 \cup \mathcal{S}_2^1$$

- Substratification of $\mathcal{S}_0 \cup \mathcal{S}_1 \cup \mathcal{S}_2^1$

- ◇ Partition of \mathcal{S}_0

$$\tilde{\mathcal{S}}_{0_1} = \bigcup_{\substack{a_i \in \mathbb{C} \\ a_1 \neq 0}} \mathcal{O} \left(\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a_1 & a_2 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{0_2} = \bigcup_{\substack{a_i \in \mathbb{C} \\ a_1 = 0}} \mathcal{O} \left(\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a_1 & a_2 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{0_1} \cup \tilde{\mathcal{S}}_{0_2} = \mathcal{S}_0, \quad \tilde{\mathcal{S}}_{0_1} \cap \tilde{\mathcal{S}}_{0_2} = \emptyset$$

◇ Partition of \mathcal{S}_1

$$\tilde{\mathcal{S}}_{1_1} = \bigcup_{\substack{e \in \mathbb{C} \\ e \neq 0}} \mathcal{O} \left(\begin{pmatrix} 0 & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{1_2} = \mathcal{O} \left(\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{1_1} \cup \tilde{\mathcal{S}}_{1_2} = \mathcal{S}_1, \quad \tilde{\mathcal{S}}_{1_1} \cap \tilde{\mathcal{S}}_{1_2} = \emptyset$$

◇ Partition of \mathcal{S}_2^1

$$\tilde{\mathcal{S}}_{2_1}^1 = \bigcup_{\substack{e, a \in \mathbb{C} \\ e \neq 0}} \mathcal{O} \left(\begin{pmatrix} 0 & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{2_2}^1 = \mathcal{O} \left(\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right)$$

$$\tilde{\mathcal{S}}_{2_1}^1 \cup \tilde{\mathcal{S}}_{2_2}^1 = \mathcal{S}_2^1, \quad \tilde{\mathcal{S}}_{2_1}^1 \cap \tilde{\mathcal{S}}_{2_2}^1 = \emptyset$$

Proposition 7. *The standardizable set is*

$$\mathcal{Stand} = \mathcal{S}_0 \cup \tilde{\mathcal{S}}_{1_1} \cup \tilde{\mathcal{S}}_{2_1}^1$$

Proof.

$$\begin{aligned} \text{rank} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ \text{rank} \begin{pmatrix} 0 & 0 & 1 \\ 0 & e & 0 \end{pmatrix} = 2 \iff e \neq 0 \end{aligned}$$

□

Proposition 8. *The controllable set is*

$$\mathcal{Cont} = \tilde{\mathcal{S}}_{0_1} \cup \tilde{\mathcal{S}}_{1_1}$$

Proof.

$$\begin{aligned} \text{rank} \begin{pmatrix} -a_1 & -a_2 + s & 0 \\ 0 & 0 & 1 \end{pmatrix} = 2 \implies a_1 \neq 0 \\ \text{rank} \begin{pmatrix} 0 & 0 & 1 \\ -1 & se & 0 \end{pmatrix} = 2 \quad \forall e \\ \text{rank} \begin{pmatrix} 0 & 0 & 1 \\ 0 & a - se & 0 \end{pmatrix} = 1 \text{ for some } s \in \mathbb{C} \end{aligned}$$

□

The structure in the neighborhood of strata

$\tilde{\mathcal{S}}_{0_1}$ is an open set,

$$\tilde{\mathcal{S}}_{0_2}: \left(\left(\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} x & a_2 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right), \right.$$

$$\tilde{\mathcal{S}}_{1_1}: \left(\left(\begin{pmatrix} 0 & 0 \\ x & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

$$\tilde{\mathcal{S}}_{1_2}: \left(\left(\begin{pmatrix} 0 & 0 \\ x & y \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

$$\tilde{\mathcal{S}}_{2_1}^1: \left(\left(\begin{pmatrix} 0 & 0 \\ x & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ y & a \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

$$\tilde{\mathcal{S}}_{2_2}^1: \left(\left(\begin{pmatrix} 0 & 0 \\ x & y \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ z & a \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right) \right)$$

Closure hierarchy

$$\begin{aligned}\text{codim}\tilde{\mathcal{S}}_{0_1} &= 0 \\ \text{codim}\tilde{\mathcal{S}}_{0_2} &= 1\end{aligned}$$

$$\overline{\tilde{\mathcal{S}}_{0_2}} \subset \overline{\tilde{\mathcal{S}}_{0_1}} \subseteq \overline{\tilde{\mathcal{S}}_0}$$

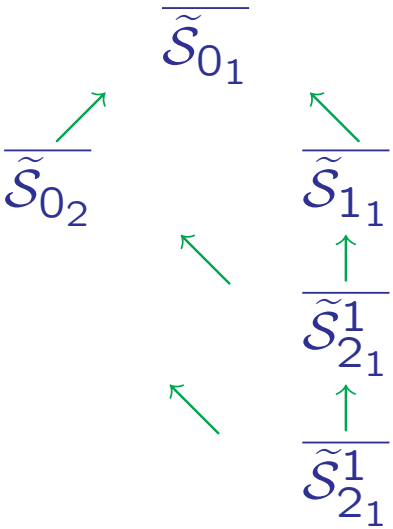
$$\begin{aligned}\text{codim}\tilde{\mathcal{S}}_{1_1} &= 1 \\ \text{codim}\tilde{\mathcal{S}}_{1_2} &= 2\end{aligned}$$

$$\overline{\tilde{\mathcal{S}}_{1_2}} \subset \overline{\tilde{\mathcal{S}}_{1_1}} \subseteq \overline{\tilde{\mathcal{S}}_1}$$

$$\begin{aligned}\text{codim}\tilde{\mathcal{S}}_{2_1}^1 &= 2 \\ \text{codim}\tilde{\mathcal{S}}_{2_2}^2 &= 3\end{aligned}$$

$$\overline{\tilde{\mathcal{S}}_{2_2}^1} \subset \overline{\tilde{\mathcal{S}}_{2_1}^1} \subseteq \overline{\tilde{\mathcal{S}}_2^1}$$

Closure hierarchy



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